



KEY FACTS STATEMENT

UP GLOBAL MARKETS LTD

Licensed by the Financial Services Commission (FSC) Mauritius

License No. **GB25204570** – Investment Dealer (Full Service Dealer, Excluding Underwriting)

Registered Address: 4th Floor, Docks 4, The Docks, Caudan, Port Louis, Mauritius

Support Email: support@upforex.com

Version: 9th July 2025, **Version: V1**

Key
Facts
Statement

KEY FACTS STATEMENT – CONTRACTS FOR DIFFERENCE(CFD's)

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PURPOSE OF THIS DOCUMENT

This document (“Key Facts Statement”) provides key information about Contracts for Difference (CFDs) to help you understand the nature, risks, costs, and charges, and to compare this product with other financial instruments.

It is **not marketing material**.

1. PRODUCT DESCRIPTION

- A **Contract for Difference (CFD)** is an agreement between a buyer and a seller to exchange the difference in price of an underlying asset between the time the contract is opened and closed.
- CFDs are **over-the-counter (OTC)** products. The Company acts as counterparty to your trades.
- CFDs allow you to speculate on price movements of assets (forex, commodities, indices, shares, metals) without owning the underlying asset.
- CFDs are **leveraged products**: you pay a margin (collateral), a fraction of the full contract value, to open a position.

2. OBJECTIVES

- CFDs are intended for speculative trading, hedging exposures, or portfolio diversification.

- There is no fixed holding period; positions may be opened or closed at any time.
- Clients should maintain adequate account balances to meet **margin requirements**. Failure to do so may result in automatic position closure (stop-out).

3. INTENDED INVESTOR

- CFDs are **not appropriate for everyone**.
- Suitable for investors with trading knowledge and experience in leveraged products.
- Investors should have high risk tolerance and be able to bear full losses of invested capital.
- Clients should trade only with funds they can afford to lose.

4. RISKS & RETURNS

- CFDs are **high-risk leveraged products**.
- Losses can exceed deposits if risk is not managed.
- The Company provides **negative balance protection** – you cannot lose more than the funds available in your account.
- Prices and conditions are set by the Company and may differ from exchange-traded products.
- CFDs carry **market risk, liquidity risk, and credit risk**.
- No capital guarantee or future performance protection exists.

5. PERFORMANCE SCENARIOS

Performance depends on:

- Instrument chosen,
- Trade size (lots),
- Direction (buy/sell),
- Market movement.

Example (XAUUSD – Gold CFD, 0.1 lot, margin 0.5%):

Scenario	Closing Price	Price Change	Profit/Loss	ROI %
Favorable	+1%	+190.87 USD	+200%	
Moderate	+0.5%	+95.43 USD	+100%	
Unfavorable	-2%	-381.73 USD	-400%	
Stress	-5%	-954.33 USD	-1000%	

Losses are limited to account balance due to **negative balance protection**, but significant losses may occur quickly.

6. ASSOCIATED COSTS

Clients may incur:

- **Spread** – Difference between bid/ask price.
- **Commission** – Applied on certain account types, charged per trade volume.
- **Swap/Financing Costs** – Charged for positions held overnight (positive or negative).
- **Currency Conversion** – Applied if instruments are traded in a currency different from account base.
- **Deposits/Withdrawals Fees** – Depending on payment method.
- **Inactivity/Dormancy Fees** – For accounts with no activity over a defined period.
- **Termination Handling Fee** – May apply on account closure as per Company terms.

Detailed specifications are published on the Company's website under **Contract Specifications & Fees**.

7. OTHER RELEVANT INFORMATION

- CFDs offered by the Company are **not exchange-traded** and can only be closed with the Company.
- Server records are final for pricing and execution.
- Clients must read the **Risk Disclosure Notice, Client Agreement, General Terms, Bonus Terms, and Complaints Handling Policy** before trading.
- Independent advice is recommended if you are unsure of the risks.

ACKNOWLEDGMENT

By opening a trading account and trading CFDs, the Client confirms that they have read, understood, and accepted this Key Facts Statement and all associated risks.